



Workshop for Investment Fiduciaries Program Registration

Deadline – October ??, 2006

THERE ARE 4 WAYS TO REGISTER:

1. Through our website - www.bus.vcu.edu/cce/fiduciaryworkshop
2. Fax - 804/828-6615
3. Mail - Center for Corporate Education, School of Business, 1015 Floyd Avenue, Richmond, VA 23284.
4. Phone - 804/828-3165

Name: _____ Company: _____

Address: _____

City: _____ State: _____ Zip Code: _____

Phone: _____ Fax: _____

E-Mail: _____ Cell Phone: _____

METHOD OF PAYMENT



All Payments are due by the first day of class

Check Credit Card: Visa Mastercard AMEX

Name: _____ Card Holder Signature: _____

Card Number: _____ Expiration Date: _____

MAKE CHECK PAYABLE TO: VCU School of Business Foundation

The VCU School of Business Foundation is a nonprofit organization devoted entirely to the welfare of Virginia Commonwealth University School of Business - FIN 20-2661802. Virginia Commonwealth University is an Equal Opportunity/Affirmative Action University.

CONFIRMATION

Once your registration is received, we will send you a confirmation along with additional seminar information. Please call Jan Allen at 804-828-3165 if you have further questions.

ABOUT KINGSMILL

The program will be held at the Kingsmill Resort, 1010 Kingsmill Road, Williamsburg, VA 23185, 1-800-832-5665, www.kingsmill.com. A block of rooms have been reserved for those who would like overnight accommodations. The rate is \$189. Please call the hotel directly to make your reservation and mention that you are attending the "Fiduciary Seminar".

PROGRAM FEE

Your investment for this program is \$1,950. Included in this fee is registration and all materials, including the Toolkit, continental breakfast and lunch both days of the program.

fi•du•cia•ry

Pronunciation:

- 'dū-shE-"er-E, -sh&-rE, -'dyü-

Function: noun

Inflected Form(s): plural -ries

one often in a position of authority who obligates himself or herself to act on behalf of another (as in managing money or property) and assumes a duty to act in good faith and with care, candor, and loyalty in fulfilling the obligation: one (as an agent) having a fiduciary duty to another – see also fiduciary duty at DUTY, FIDUCIARY RELATIONSHIP – compare PRINCIPAL

Does this describe you?

Do you have your ACCREDITED INVESTMENT FIDUCIARY professional designation?

Are you aware of your duties and responsibilities?

Accredited Investment Fiduciary™ Program

The Center for Corporate Education at the School of Business, Virginia Commonwealth University is partnering with the Center for Fiduciary Studies to offer this two day program at Kingsmill Resort, Williamsburg Virginia, Thursday and Friday, November 2 & 3, 2006. The program will start at 10am on Thursday and will conclude Friday by 5pm.

The program is lead by AIFA® of the Center for Fiduciary Studies and Dr. David Upton, AIF®, Department Chair, Finance, Insurance, and Real Estate, School of Business, Virginia Commonwealth University.

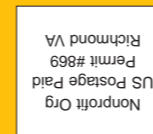
For more information go to www.bus.vcu.edu/fiduciaryworkshop

Kingsmill Resort, Williamsburg, Virginia

November 2 & 3, 2006

Center for Corporate Education | Virginia Commonwealth University | School of Business
1015 Floyd Avenue, Room 3126 | Richmond VA 23284-4000

VCU School of Business
Accredited Investment Fiduciary™ Program



VCU

School of Business

V i r g i n i a C o m m o n w e a l t h U n i v e r s i t y

Accredited Investment Fiduciary™ Program

Body of Knowledge for the AIF® Designation

fiduciary 360™

your guide to fiduciary insights.



November 2 & 3, 2006

Kingsmill, Williamsburg

2 Day Workshop

Workshop for Investment Fiduciaries

"The Fiduciary Workshop offers energetic professionals an opportunity to move into an advanced financial professional level and designation while sharing practical information with peers. I believe the AIF and AIFA will open many doors in the future."

- Donna Miller CPA, CFP, AIF

"The AIF designation sends the message that you're serious about your duties to your client."

- Dave O'Brien MBA, AIF

"The AIF™ - One of the Ten Most Sought After Designations"

- Financial-Planning.com

The AIF® is a two day program designed to provide participants with the knowledge necessary to understand and implement a prudent investment process for Investment Stewards. Instructors from the Center for Fiduciary Studies and the Finance Department at the School of Business, Virginia Commonwealth University, teach participants the four steps that comprise the prudent process, as well as the Practices and Criteria necessary to fulfill each step. The course culminates with an accreditation exam for wish to obtain the AIF designation.

The course instructs Investment Stewards (defined as those who manage an investment process on how to fulfill their duties to a standard of care. Divided into four major steps (organize, Formalize, Implement, and Monitor), the Standard is defined by the twenty-two Practices in the Prudent Practices for Investment Stewards handbook. After completing the course, attendees will understand the legal and regulatory underpinning of each of the Practices and be able to implement the Practices in real-life situations. All of this functions to create a greater understanding of duties among fiduciaries, standardize the investment process, and decrease the instances of negligence in the investment industry.

Who Delivers This Program:

The program will be delivered by recognized industry experts on the subject of investment fiduciary responsibility. VCU is partnering with the Center for Fiduciary Studies to offer this two day program.

The Center for Fiduciary Studies is the only national entity to define and substantiate specific investment fiduciary practices that are applicable to investment advisors, trustees, and investment committee members. In addition, The Center for Fiduciary Studies is the first research and training center in the country focused solely on the subject of investment fiduciary responsibility, and they provide training programs that support the only professional designations based on investment fiduciary standards of care; AIF™ (Accredited Investment Fiduciary™) and AIFA (Accredited Investment Fiduciary Auditor™).

What You Will Learn...

Attend this program and you will be able to:

- Articulate the legal and regulatory environment impacting the fiduciary.
- Determine the prudent process that an investment fiduciary should follow.
- Conduct a fiduciary review.
- Conduct asset allocation studies and learn the proper use of optimization software.
- Prepare investment policy statements.
- Implement an investment strategy.
- Conduct due diligence on money managers, and mutual funds.
- Understand socially responsible investment strategies.
- Monitor and supervise the activities of an investment program.
- Analyze an investment program's expenses and fees.
- Deliver performance measurement reports.
- Customize service to different market strategies.

WHAT TO EXPECT

DAY ONE

- The Development of Fiduciary Practices
- The Legal and Regulatory Environment
- Professional Standards of Care
- An Overview of the Five Step Investment Management Process
- **STEP ONE** - Analyze Current Position

DAY TWO

- **STEP TWO** - Formalize Investment Policy
 - Core Information
 - Templates
 - Web-based Tools
 - Socially Responsible Investing
 - Advisors
 - Trustees
- **STEP THREE** - Implement Investment Policy
- **STEP FOUR** - Monitor and Supervise
- Investment Fiduciary Assessment Questionnaire - IFAQ™
- Exam for those seeking the AIF® designation

v i r g i n i a c o m m o n w e a l t h u n i v e r s i t y

Why Become an Accredited Investment Fiduciary™

By becoming an AIF®, you are signaling to the world – and especially to your clients, beneficiaries, and retirement plan participants, that you have invested your time and resources so that you will always act in the best interest of those who you serve. In this program you study in detail all the components of a comprehensive investment process and related fiduciary standards of care.

Who Should Attend?

Accountants, Attorneys, Brokers, Dealers, Executors, Investment Advisors, Investment Committee Members for Retirement Plans, Foundations and Endowments, Investment Consultants, Money Managers, Trustees or Private Trusts, and others who are fiduciaries or who deal with fiduciaries.



Continuing Professional Education

The program is registered for continuing professional education with the Certified Financial Planner (CFP) Board of Standards, the Investment Management Consultants Association (IMCA), the National Association of State Boards of Accountancy (NASBA), National Registry of CPE Sponsors, and the Pennsylvania Continuing Legal Education (CLE) Board. These respective organizations accept between 10 and 14 CPE hours.